

Customer No. 31013

FORM PTO-390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 58653-1042
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EQ/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. 60/520,966 (sec. 37 CFR 1.5) 10/579817
INTERNATIONAL APPLICATION NO. PCT/US2004/038438	INTERNATIONAL FILING DATE November 16, 2004	PRIORITY DATE CLAIMED November 17, 2003
TITLE OF INVENTION REACTIVE HYDROXYLATED AND CARBOXYLATED POLYMERS FOR USE AS ADHESION PROMOTERS		
APPLICANT(S) FOR DO/EO/US Mielke, Mark Andreas; Mathew, Mathew Charles; Keaveney, William P.; Czarnecki, Richard John; Wilson, William Ford; Lindsey, Mark John; Arcurio, Ralph Francis		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<input checked="" type="checkbox"/> This application is the national stage filing of corresponding international application number PCT/US2004/038438, filed November 16, 2004, which claims priority to and benefit of U.S. Application No. 60/520,966, filed November 17, 2003.		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application (35 U.S.C. 371 (c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 1 54(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98; PTO 1449 and references cited therein. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A paper and computer-readable form of the sequence listing 18. A second copy of the published international application under 35 U.S.C. 1 54(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 1 54(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet		


EXPRESS MAIL LABEL NO. EV636005669US
DATE OF DEPOSIT: May 16, 2006

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/579817		INTERNATIONAL APPLICATION NO. PCT/US2004/038438		ATTORNEY'S DOCKET NUMBER 58653-1042	
The following fees are submitted:				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)).....\$300.00				\$300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))				\$200	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00					
All other situations.....\$200.00					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))				\$100	
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0.00					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100.00					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400.00					
All other situations.....\$500.00					
TOTAL OF 21, 22 and 23 =				\$600.00	
<input type="checkbox"/> Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of Each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100 = 0	0/50 = 0	N/A	x \$250.00	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	62-20	42	x \$50.00	\$2100.00	
Independent claims	5-3	2	x \$200.00	\$400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$	
TOTAL OF ABOVE CALCULATIONS =				\$2500.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2					
SUBTOTAL =				\$3100.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$3100.00	
				Amount to be refunded:	\$
				charged:	\$

- a. ☒ A check in the amount of **\$3100.00** is enclosed to cover the above fees.
- b. ☐ Please charge Deposit Account No. **50-0540** in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.495(b) or any other applicable provision in order to avoid abandonment, or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:


SIGNATURE
Charles Achkar, Reg. No. 43,311

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